Financial Adviser Profile

Overview

Kay Simpson has been working in various roles within the financial planning industry since 1997 and advising clients since 2001. Kay has extensive experience advising self managed super funds as well as pre-retirement and retirement planning, including dealing with Centrelink. Kay’s in depth knowledge of Estate Planning matters and personal insurances, ensures all aspects of her clients’ financial positions are being considered, for total peace of mind.

Kay Simpson is a Sub-Authorised Representative of Connect Financial Planning Services Pty Ltd, Corporate Authorised Representative No. 1263442. Authorised Representative No. 447283.

Qualifications

Kay Simpson holds a Diploma of Financial Planning and Master of Applied Finance (Financial Planning) and meets the competency requirements under ASIC’s Regulatory Guide RG 146.

Professional Memberships

Kay Simpson is a member of The Financial Planning Association of Australia and abides by their code of professional conduct and ethics.

Authorisations

Kay Simpson is authorised to provide advice and deal in the following financial products:

- Life Products including Investment Life Insurance Products & Life Risk Insurance Products;
- Interests in Managed Investment Schemes including Investor Directed Portfolio Services;
- Deposit & Payment Products;
- Retirement Savings Accounts (“RSA”) products;
- Debentures, Stocks or Bonds issued or proposed to be issued by a Government;
- Superannuation;
- Self Managed Superannuation Funds;
- Securities.
Connect Financial Planning Services Advice Fees and Charges

Kay Simpson will be paid Financial Planning Advice Fees as described in the Financial Services Guide. The amount of the benefit and how it is calculated will be advised to you during your initial meeting.

Kay’s fee for the preparation of a Statement of Advice and other Advice documents will vary depending on the complexity involved and the time taken. You will be notified of the costs involved prior to the commencement of any work.

Kay provides the option of ongoing reporting and advisory services. This fee is You will be notified of the cost involved prior to the commencement of any ongoing services.

Connect Financial Planning Services Pty Ltd pays a fixed licensing fee to Capstone Financial Planning Pty Ltd and will receive all revenue earned from the financial services provided to you. Kay Simpson is a salaried employee of Connect Financial Planning Services Pty Ltd and will receive a salary/benefit from this company.

Other Benefits Kay May Receive

From time to time Kay may be invited to social or sporting events and receive the occasional gift such as a bottle of wine or hamper on special occasions. These non-cash benefits will have a value of less than $300. A register listing the details of any non-cash benefits between $100 and $300 is maintained. These invitations and gifts do not influence the advice provided to you. If you would like more information you can request a copy of the register.